



**BOB RILEY**  
GOVERNOR

**STATE OF ALABAMA**  
**DEPARTMENT OF INSURANCE**  
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### **FILING REQUIREMENTS FOR ACCEPTED REINSURER STATUS**

1. A company must be licensed to write, on a direct basis, the same lines of business they are reinsuring
2. The following documents must be submitted to the Alabama Department of Insurance:
  - An AR-1 Form with the President's signature and the corporate seal. At present, this Form is only required with the submission of the application.
  - A verified copy of the Annual Financial Statement indicating a minimum surplus requirement of \$20 million.
  - An audited financial statement and it must have an unqualified opinion.
  - A verified quarterly financial statement indicating a minimum surplus requirement of \$20 million.
  - A \$200.00 filing fee

### **ANNUAL AND QUARTERLY FILING REQUIREMENTS FOR MAINTAINING ACCEPTED REINSURER STATUS**

The following documents must be submitted to the Alabama Department of Insurance:

- An annual maintenance fee of \$200.00
- A verified copy of the Annual Financial Statement with a minimum surplus of \$20 million
- An audited financial statement with an unqualified opinion.
- A verified quarterly financial statement indicating a minimum surplus requirement of \$20 million.

**NOTE:** With both the Annual and Quarterly Filings, please include a list of Alabama domiciled companies that are currently ceding business to your company.